Noninvasive Transdermal Delivery of Biomolecules Using Arc-poration

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Abstract: The transdermal drug delivery system is the most suitable method for increasing 13 the bioavailability of drugs, minimizing side effects, and improving patient compliance. 14 However, due to the stratum corneum skin barrier, transdermal drug delivery remains limited. 15 To disrupt the stratum corneum, we developed an arc-poration device, which is an arc 16 discharge-based device that creates micropores on the stratum corneum while minimizing 17 skin damage. Optical images and histological analysis using reconstituted human skin and 18 porcine skin show that micropores with an average diameter of approximately 100 19 micrometers are created only to the depth of the stratum corneum, not viable epidermis, by 20 the treatment of arc-poration. In addition, the Franz diffusion cell experiment using 21 reconstituted human skin showed a remarkable increase in permeability following 22 pretreatment with arc-poration. Clinical results indicate that the skin improvement effect of 23 cosmetics is enhanced by pretreatment of arc-poration is statistically significant, and there are 24 no abnormal skin responses. Taken together, our results indicate that arc-poration can 25 increase skin permeability by creating stratum corneum-specific micropores. Here we suggest 26 arc-poration as a novel technique that can overcome the limitations of transdermal drug 27 delivery. 28

- **Keywords:** transdermal drug delivery; arc discharge; arc-poration; skin permeability; stratum 30 corneum; cosmetics 31
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1. Introduction

The transdermal drug delivery system (TDDS) is emerging as an attractive alternative to drug delivery via oral administration or subcutaneous injection [1]. The TDDS enables 35 controlled and long-term release of drugs by avoiding first-pass effects, enzymatic digestion, 36 and exposure to extreme changes in pH that occur during oral administration [2,3]. Drug 37 administration is even possible for patients who are unconscious or have difficulty 38 swallowing via the TDDS [4]. In addition, the TDDS can minimize pain and injection risks, 39 thereby improving patient compliance [5]. In terms of skin diseases, especially, TDDS is an 40 effective administration route that can be applied directly to skin lesions, and it avoids the 41 side effects of conventional administration routes [6]. 42

The most critical step in TDDS is that the drug must pass through the two epidermal skin barriers: the stratum corneum and the tight junctions on the stratum granulosum. The stratum 44 corneum, the primary skin barrier, very strictly prevents the penetration of external 45 substances and pathogens [7]. Nevertheless, small molecules with molecular weights of less 46 than 500 Da and moderate lipophilicity can penetrate the stratum corneum to some extent, but 47 not macromolecules and hydrophilic molecules [1,8]. The tight junctions on the stratum 48 granulosum obstruct molecules that pass through the stratum corneum from penetrating deep 49 into the skin [9]. However, the tight junction is less strict than the stratum corneum because it 50 can selectively allow ions, uncharged molecules, and macromolecules to pass through the 51 charge-selective pore and leak pathways [10]. This suggests that the most important 52 challenge for the TDDS is to disrupt the stratum corneum. 53

FDA-approved drugs for transdermal delivery are small molecules with a molecular weight of less than 500 Da capable of passive delivery [11]. Recently, a number of innovative 55 biologics, consisting of antibodies, peptides, or nucleic acids for various diseases, have been 56 developed [12]. However, they cannot penetrate the skin with passive delivery methods 57 because most of them are low lipophilic macromolecules. To increase the permeability of 58 biologics, various active delivery techniques have been developed, including iontophoresis, 59 sonophoresis, electroporation, thermal ablation, and microneedle [13]. Of those techniques, 60 only the microneedle and thermal ablation techniques create micropores on the skin. The 61 microneedle procedure is in the spotlight as a technique in which drugs can effectively 62 penetrate the skin with a controlled release, but the occurrence of abnormal skin responses 63 cannot be ruled out because it is an invasive method [14,15]. In the case of thermal ablation 64 using radio frequency, the generated micropores are formed in a certain diameter to the depth 65 of epidermis [16]. In contrast, in the case of thermal ablation using the lasers, the depth of the 66 micropores can be adjusted by controlling the thermal energy applied to the skin, but a 67 masking process is additionally required for a certain diameter [17,18]. Given the importance 68 of stratum corneum-specific disruption and of minimizing damage, it is essential to develop a 69 technique that can control both the depth and diameter of micropores [19]. 70

Dielectric barrier discharge is a nonthermal plasma that occurs between the high voltage cathode and anode electrodes. In the TDDS, dielectric barrier discharge enhances the 72 penetration of molecules by etching the stratum corneum [20,21]. However, dielectric barrier 73 discharge damages a large area of the stratum corneum and produces harmful substances, 74 such as ozone and nitrogen oxides, when plasma is generated, so there is a possibility for 75 abnormal skin responses and respiratory disorders [14,22]. Unlike dielectric barrier 76 discharge, arc discharge transmits thermal energy generated by high current. When dielectric 77 breakdown occurs for the ignition of arc discharge, thermionic emission is induced in the 78 cathode area by high current density and thermal electrons transmit to anode area [23,24]. In 79 addition, the erosion of electrodes generated by arc discharge shows in areas sized between 80 tens and hundreds of micrometers [25,26]. Arc discharge has been used for various purposes, 81 including welding and lamps, but has not yet been used in the TDDS. We considered the 82

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possibility that arc discharge could be applied to TDDS in a noninvasive manner similar to 83 the thermal ablation technique. Previously, we reported that arc discharge causes sporadic 84 damage to the surface of a porcine skin, although this finding has not been analyzed 85 intensively [27]. In this study, we used reconstituted human skin and porcine skin as 86 alternatives to animal experiments to investigate the characteristics of micropores created by 87 an arc discharge-based device and the penetration of biomolecules through those micropores. 88 Furthermore, we evaluated the effectiveness of arc discharge in cosmetics clinical trials and 89 the safety of an arc discharge-based device. 90

2. Materials and methods

2.1. Device

Skin micropores were generated using the arc-poration device (MEDICUBE AGE-R ATS AIR SHOT, APR Co., Ltd.) manufactured by Easytem Inc. The device consists of five outputs depending on duty cycle (10%–90%). Level 5, which has a 90% duty cycle, was used in this study. To efficiently generate the skin micropores, the device was kept in contact with the skin by tapping, sweeping, or brushing.

2.2. Cosmetics ingredients

The ingredients in the MEDICUBE Deep Vitac Ampoule (APR Co., Ltd.) are as follows: water, ascorbic acid, butylene glycol, dipropylene glycol, propanediol, dicaprylyl carbonate, propylene glycol dicaprylate/dicaprate, diisopropyl sebacate, polysorbate 60, 103 glutathione, glycerin, (-)-alpha-bisabolol, glyceryl stearate, panthenol, citric acid, chitosan, 104 pullulan, sodium gluconate, sodium hyaluronate, helianthus annuus (sunflower) seed oil, 105 dimethicone, disodium EDTA, ethylhexyl methoxycinnamate, guar hydroxypropyltrimonium 106 chloride, sodium metabisulfite, tris (tetramethylhydroxypiperidinol) citrate, xanthan gum, 107 cyclopentasiloxane, dimethicone/vinyl dimethicone crosspolymer, Phaseolus Radiatus seed 108 extract, Citrus Paradisi (grapefruit) fruit extract, Opuntia Ficus-Indica fruit extract, Myrciaria 109 Dubia fruit extract, beta-carotene, Daucus Carota Sativa (carrot) seed oil, Curcuma Longa 110 (turmeric) root extract, Terminalia Ferdinandiana fruit extract, beta-glucan, Betula 111 Platyphylla Japonica Bark extract, ethylhexylglycerin, Rumex Crispus root extract, sodium 112 hydroxide, fragrance, linalool, limonene, and 1,2-hexanediol. 113

Whereas the MEDICUBE Super Cica Cream (APR Co., Ltd.) is composed of the following ingredients:

water, butylene glycol, glycerin, caprylic/capric triglyceride, cyclohexasiloxane, pentylene glycol, 1,2-hexanediol, dipropylene glycol, Limnanthes Alba (meadowfoam) seed 117 oil, Theobroma Grandiflorum seed butter, Melia Azadirachta leaf extract, Melia Azadirachta 118 flower extract, Ocimum Sanctum leaf extract, hydrolyzed hyaluronic acid, Centella Asiatica 119 leaf extract, Centella Asiatica root extract, Centella Asiatica extract, Curcuma Longa 120 (turmeric) root extract, Theobroma Cacao (cocoa) seed extract, Corallina Officinalis extract, 121 Glycyrrhiza Uralensis (Licorice) extract, ethylhexyl olivate, panthenol, ectoin, hydrogenated 122 polydecene, sodium acrylates copolymer, cetearyl olivate, sorbitan olivate, polyglyceryl-4 123 oleate, hydroxyethyl acrylate/sodium acryloyldimethyl taurate copolymer, synthetic beeswax, 124 dimethicone/vinyl dimethicone crosspolymer, hydroxyacetophenone, salicylic acid, 125 ethylhexylglycerin, dipotassium glycyrrhizate, sodium phytate, sorbitan isostearate, 126 polyglyceryl-10 oleate, hydrogenated lecithin, silica, dextrin, allantoin, polyglyceryl-10 127 stearate, madecassoside, tocopherol, ceramide NP, asiatic acid, asiaticoside, madecassic acid, 128 and xanthan gum. 129

2.3. Skin micropore formation using arc-poration device

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For the micropore formation in the porcine skin, a porcine skin was kept at room temperature until the surface was dry. Prior to the arc-poration treatment, the porcine skin 133 was marked with stamp ink so the micropores coud be easily detected. After the arc-poration 134 treatment, the micropores were observed under a microscope, and their diameters were 135 calculated. To determine the depth of the micropores, a reconstituted human skin (Neoderm, 136 Tegoscience Inc.) was used. After the arc-poration treatment, a reconstituted human skin was 137 fixed with 4% formaldehyde and then embedded with paraffin. The paraffin block was sliced 138 to a thickness of 5 um. These sections were deparaffinized with xylene and then dehydrated 139 with alcohol. The sections were stained with hematoxylin and washed in water. Next, the 140 sections were stained with eosin and rehydrated with EtOH-Xylene steps. After mounting 141 with Canada balsam solution, stained sections were observed under a microscope. 142

2.4. Permeation test using Franz diffusion cell

The Franz diffusion cell system was used to determine the penetrance of caffeine after the arc-poration treatment. Phosphate-buffered solution and a magnetic stirrer were placed in the receiving compartment of the Franz diffusion cell. Reconstituted human skin that had or had not received arc-poration treatment were placed between a donor chamber and a receptor chamber, followed by the addition of a 2.2% caffeine solution on the surface of the reconstituted human skin. At each time point, samples were collected from the receiving compartment and analyzed to determine the concentration of caffeine using HPLC. The experiments were duplicated.

2.5. Clinical evaluation of arc-poration

This split-face clinical trial evaluated the effectiveness of arc-poration and two other cosmetic products in improving skin gloss, dermal hydration, skin flakiness, skin tone, skin tone evenness, skin pigmentation, skin pore tightening, and skin texture.

This study was appropriately conducted based on the World Medical Association Declaration of Helsinki, and in accordance with following applicable regulatory 159 requirements: "Bioethics and Safety Act"; "Cosmetics Act" of the Republic of Korea; public 160 announcement from Ministry of Food and Drug Safety; Regulation for the designation of 161 testing institution for drugs, etc., cosmetics, and medical devices; Korea Good Clinical 162 Practice for Drugs; Guideline for cosmetic testing in human volunteers and In Vitro tests; 163 Guideline for test methods for substantiation of labeling and advertisements of cosmetics; 164 Guideline for Effectiveness Assessment of Functional Cosmetics; and Standard Operating 165 Procedure of Global Institute of Dermatological Sciences. 166

In total, 22 Korean adult women aged 20–60 years who met the inclusion criteria and were not included in the exclusion criteria were enrolled for this study. The average age of the participants was 48.762 ± 6.715 years, and all individuals provided written informed consent. Out of 22 participants, 21 completed this study.

After cleansing their face, all participants were treated with the arc-poration device for 2 min to the left side of the face four times a week (every Tuesday, Thursday, Saturday, and 172 Sunday) for the 4 week study period. Additionally, the MEDICUBE Deep Vitac Ampoule 173 and MEDICUBE Super Cica Cream were applied to the whole face twice each day (every 174 morning and night) after cleansing the face during the study period. Prior to the skin 175 evaluation, all individuals washed the study area with the same detergent in an indoor space 176 under constant temperature $(22^{\circ}C \pm 2^{\circ}C)$ and humidity conditions $(50\% \pm 10\%$ relative 177 humidity) without direct sunlight and waited for at least 30 min. 178

Skin gloss, dermal hydration, skin flakiness, or skin tone were evaluated using Mark-Vu (PSI Plus Co., Ltd.)/SkinGlossMeter (Delfin Technologies Ltd.), MoistureMeterD Compact (Delfin Technologies Ltd.), Visioscan VC 20plus (Courage+Khazaka Electronic GmbH), and 181

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Mark-Vu/Chromameter CR-400 (Konica Minolta Sensing, Inc.), respectively. Skin tone 182 evenness, pigmentation, pore tightening, and texture were evaluated using Antera 3D.

For the statistical analysis of this study, IBM SPSS Statistics 27 software (IBM Co., USA) was used. To analyze the significance of the evaluation results, the paired t-test and 185 Wilcoxon signed-rank test were used for preuse and postuse comparisons according to the 186 human body application test and normality test results of each item. In the case of group 187 comparison, the independent t-test and Mann–Whitney U-test were used. Statistical 188 significance was defined by a p-value of <0.05 in the 95% confidence interval. 189

For clinical evaluation immediately after one-time treatment, 22 Korean adult women aged 20–60 years (average age of 49.091 ± 7.151 years) who met the inclusion criteria, 191 provided written informed consent, and were not included in the exclusion criteria were enrolled for this study. All 22 participants completed this study. All participants were treated with the arc-poration device once for 1 min to the left side of their cleansed face. Then, the MEDICUBE Deep Vitac Ampoule and the MEDICUBE Super Cica Cream were applied to the whole face once. Skin gloss, dermal hydration, skin flakiness, skin pore tightening, and skin texture were evaluated without cleansing the face.

2.6. Measurement of ozone, nitrogen oxide, and nitrogen dioxide

To measure the concentration of ozone and nitrogen oxides, the arc-poration device was placed on a jig and operated at level 5 for 5 min. Then, a sampling tube was installed within 5 mm of the device's application region, and the maximum concentration was measured during the device's operation time. The concentrations of ozone and nitrogen oxides were measured using the ozone concentration tester (2B Technologies) and NOx analyzer (rbr Messtechnik GmbH), respectively.

3. Results

3.1. Development of arc-poration device

Figure 1A represents a conceptual diagram of arc-poration. Briefly, it shows that the penetration of biomolecules into the skin can be promoted through micropores created by an 210 arc discharge that is ignited by high voltage-induced dielectric breakdown. The breakdown 211 voltage of air is known to be approximately 3 kV/mm [28]. This suggests that a high voltage 212 in kilovolt units would be required for the arc discharge generated between the electrode and 213 the skin surface. However, the applied voltage generally used in handheld electronic devices 214 is very low. To generate high voltage from low applied voltage, we used transformer in 215 which the voltage applied to the primary coil was elevated to the winding ratio of the 216 secondary coil via electromagnetic induction (Fig. 1a). As a result, 3.3 Vdc of the applied 217 voltage was transformed to 1.91 ± 0.4 kVpp of alternating current (AC) voltage (Fig. 1b). To 218 prevent severe burning of the skin caused by thermal energy of continued arc discharge, we 219 also generated pulsed arc discharge using 20 Hz of burst frequency, applying duty cycle in 220 the range of 10%–90%, regulating the burning period (Fig. 1c). 221

Although the output voltage was elevated to 1.91 ± 0.4 kVpp, it was not sufficient to ignite an arc discharge between the electrode and the skin surface. Dielectric breakdown 223 strength reportedly decreases as the frequency increases [29,30]. In other words, the high 224 frequency may lower the breakdown voltage depending on the distance between the electrode 225 and the skin surface. To ignite the arc discharge efficiently, we applied 90 kHz of frequency 226 as a carrier frequency into the burst frequency (Fig. 1c). As a result, the output carrier 227 frequency was measured at 74.17 ± 0.36 kHz under the no load condition (Fig. 1b). Although 228 it differs from the applied frequency due to the complexity of the circuit, there is no 229 significant difference in functionality. 230

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3.2. Process of arc-poration

Figure 2 describes the process of arc-poration once the device contacts the skin. Initially, one of electrodes is in contact with the skin surface as a ground electrode. Once the other 236 electrode enters within the distance where dielectric breakdown can occur, an arc discharge is 237 generated, and micropores are created on the skin surface by the thermal energy. When both 238 electrodes contact the skin surface, the AC current drops due to high skin resistance and 239 flows between the two electrodes through the skin (Fig. 1b). From the moment one electrode 240 is detached from the skin, an arc discharge occurs and micropores are created on the skin 241 until that electrode is outside the range where dielectric breakdown can occur. Through this 242 mechanism, micropores can be easily created by sweeping, brushing, or tapping the skin with 243 the arc-poration device. 244

Here Fig. 2

3.3. Skin micropore generation using arc-poration device

A porcine skin was used to verify the ability of arc-poration to create micropores. Since a porcine skin has many pores, stamp ink was applied before the arc-poration treatment to distinguish newly created micropores (Fig. 3a). We found multiple newly created micropores on the porcine skin treated with arc-poration (Fig. 3b). To determine the pores' diameter, the longest length of the pore shape was calculated. The pores had an average diameter of 97.45 \pm 19.33 micrometers (Fig. 3c), which is similar to the diameter of the pores generated by microporation [16] or microneedle [31].

Here Fig. 3

Invasive procedures may cause skin infection, and damage deep in the skin can cause inflammatory reactions, such as pain, erythema, and bruising [14]. Thus, the arc-poration was 260 not only designed to be noninvasive, but also to cause minimal damage to the skin through 261 depth control. A reconstituted human skin was used as an alternative to human and animal to 262 determine the depth of micropores created by arc-poration. A reconstituted human skin 263 consists of stratum corneum, viable epidermis, and dermis (Fig. 4a). Histological analysis 264 shows the depth of micropores created by arc-poration on a reconstituted human skin. 265 Interestingly, the micropores were formed only on the stratum corneum (Fig. 4b). The 266 presence of stratum corneum-specific micropores indicate that arc-poration can break through 267 the skin barrier that prevents substance penetration, making a channel for transdermal 268 delivery. 269

Here Fig. 4

3.4. Increased permeability of caffeine by arc-poration treatment

Given the stratum corneum's function as a skin barrier, it can be assumed that the stratum corneum-specific micropores created by the arc-poration facilitate the penetration of biomolecules into the skin. To test this, we performed a Franz diffusion cell experiment with both arc-poration-treated and nonarc-poration-treated reconstituted human skin (Fig. 5a). Caffeine, which is widely used in dermatological applications, was used as a test substance to measure permeability [32]. The concentration of caffeine penetrated through the stratum corneum to the dermal layer was measured using HPLC. The concentration of permeated caffeine gradually increased over time. At 2, 4, and 8 h after caffeine treatment, the average

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concentrations of permeated caffeine are 5.9, 4.8, and 4.5-fold higher in arc-poration-treated 282 sample than those in the nontreated sample, respectively (Fig. 5b). This result indicates the 283 enhancement of transdermal delivery through stratum corneum-specific micropores. 284

Here Fig. 5

3.5. Clinical evaluation of arc-poration treatment

Next, we investigated whether increased skin permeation through the stratum corneumspecific micropores increased the clinical effect of active ingredients. Two types of cosmetics containing antioxidants and anti-inflammatory, moisturizing, and soothing ingredients were applied after pretreatment or nontreatment of arc-poration. Skin gloss, dermal hydration, skin flakiness, skin tone, skin tone evenness, skin pigmentation, skin pore tightening, and skin texture were evaluated.

After 4 weeks of application of the study products, significant improvements in skin gloss, dermal hydration, skin flakiness, skin tone, skin tone evenness, skin pigmentation, and 296 skin texture were observed only in the application area (cosmetics only area) in comparison 297 to the findings at baseline, confirming the skin improvement effects of cosmetics. These were 298 further improved when arc-poration device was used together with cosmetics (AP area); these 299 significant improvements in the AP area were statistically higher than those in the cosmetic 300 area (p < 0.05) (Fig. 6a–f, h). The evaluation of skin pore tightening after 4 weeks showed 301 that the pore volume in the cosmetics only area decreased compared to that at baseline, but 302 the difference was not statistically significant. In contrast, the AP area showed significant 303 improvement in skin pore tightening (p = 0.002), which was statistically significantly 304 different from that of the cosmetics only area ($p \le 0.05$) (Fig. 6g). 305

Here Fig. 6

After 2 weeks, the skin area that received only cosmetics showed significant improvements in skin gloss, dermal hydration, skin flakiness, skin tone evenness, and skin 310 pigmentation compared to those at baseline. However, 4 weeks later, there were no 311 significant improvements in skin tone or texture in the cosmetics only area, although there 312 was a tendency for the skin tone and texture to improve compared to that at baseline. Even in 313 the evaluation of skin pore tightening after 2 weeks, the pore volume in the cosmetics only 314 area did not improve compared to that at baseline. In contrast, significant improvements in 315 skin gloss, dermal hydration, skin flakiness, skin tone, skin tone evenness, skin pigmentation, 316 skin pore tightening, and skin texture were observed in the AP area compared to those at 317 baselines; the AP area showed a statistically significant difference compared to that in the 318 cosmetics only area only in dermal hydration, skin tone evenness, and skin texture (p < 0.05) 319 (Fig. 7a). 320

Reportedly, long-term exposure to a particular electrical stimulation or low-frequency massage can induce skin rejuvenation through collagen synthesis [33,34]. To exclude these 322 possibilities, changes in skin condition were evaluated after one-time treatment of the study 323 products. Clinical evaluations were performed without cleansing the face after study product 324 application (Fig. 7b). Significant improvements in skin gloss, dermal hydration (0.5/1.5 mm), 325 skin flakiness, skin pore tightening, and skin texture were observed in the cosmetics only area 326 when compared to those at baselines. These results were assumed to be due to the temporary 327 effect immediately after applying cosmetics. Interestingly, these improvements in the 328 cosmetics only area, except those of skin flakiness, were significantly enhanced in the AP 329 area; the enhancements in the AP area were statistically significantly different from those in 330 the cosmetics only area (p < 0.05). Skin gloss and skin flakiness may appear to be improved 331

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by cosmetics on the surface of the skin, whereas dermal hydration and skin texture can only be improved if the active ingredients contained in cosmetics penetrate the skin. Therefore, these results indicate that the improvement in skin condition by cosmetics is enhanced by skin penetration of active ingredients through arc-poration. Taken together, arc-poration makes skin improvement effects by cosmetics more effective and faster due to the absorption promotion of active ingredients by arc-poration.

Here Fig. 7

3.6. Safety evaluation

The safety of devices used on the human body should be considered prior to their effectiveness. Therefore, we considered the safety of the arc-poration device from its early 343 stages of development. First, when the device contacts the skin, the voltage drops so that a 344 microcurrent that is below the standard allowable current for the human body flows. Second, 345 the pain that may occur due to low-frequency electrical stimulation was excluded by using 346 high frequencies [35]. Third, skin damage caused by the thermal energy of arc discharge was 347 minimized by applying high frequency to shorten the duration [36]. Last, arc-poration device 348 was insulated through an isolation transformer to prevent electrical shocks caused by 349 unexpected events (Table 1 and Fig. 1). 350

Here Table 1

Ozone and nitrogen oxides have been known to occur through the dielectric breakdown of air, such as lightening, pulsed discharge, and spark discharge [37-39]. Ozone and nitrogen 355 oxides irritate the eyes and skin on contact, and the nose and throat when inhaled. To check 356 this concern in the actual environment the arc-poration device will be used in, the 357 concentrations of ozone and nitrogen oxides were measured within 5 mm of the application 358 region of the device (Table 1). The concentration of ozone generated by the use of the device 359 was 0.004 ppm, which is less than 0.1 ppm, which is the minimum value among the 360 occupational short-term exposure limit values determined by each country. It is even less than 361 0.05 ppm, the minimum long-term exposure limit value [40]. The concentrations of nitrogen 362 oxide and nitrogen dioxide produced by the use of the device were both less than 1 ppm. 363 According to the Occupational Safety and Health Administration, the exposure limit values 364 of nitrogen monoxide and nitrogen dioxide are 25 ppm and 5 ppm, respectively. These results 365 indicate that the concentrations of ozone and nitrogen oxides produced by arc-poration are far 366 less than those of the international exposure limit standards. 367

To evaluate the safety of arc-poration on the human skin, all clinical participants were monitored for abnormal skin responses, including erythema, edema, scaling, itching, stinging, burning, tightness, and prickling. We observed no abnormal skin responses in the application area before or after the arc-poration treatment during the study period (Table 1). Taken together, these data indicate that the arc-poration device is a safe device, which minimizes damage and generates harmful substances below the allowable level.

4. Discussion

Based on the thermal effect of arc discharge, we developed a handheld device that can create micropores on the skin surface using a modified arc discharge. We named this technique arc-poration, which means creating micropores via arc discharge.

The stratum corneum serves as a barrier to prevent penetration of external substances and water loss in the skin [7]. In other words, it is essential to disturb the stratum corneum to deliver drugs or cosmetics transdermally. The selective formation of microchannels on the 380

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stratum corneum is important for minimizing skin damage [19]. However, except for the 382 physical method, thermal ablation is the only other method with the reported ability to 383 selectively create micropores on the stratum corneum under particular conditions. In thermal 384 ablation, the strength of the heat applied to the skin must be tightly regulated for depth 385 control, and a masking step is required to adjust the diameter of the micropore [17,18]. From 386 this perspective, we investigated transdermal delivery using novel technology and then 387 focused on arc discharge. Previously, we reported that arc discharge generated by the 388 application of high voltage causes skin damage and may function to support transdermal 389 delivery along with electroporation [27]. Although the previous study did not evaluate arc 390 discharge itself in terms of the micropore depth, skin permeability, and clinical effect, it 391 suggested the possibility of creating stratum corneum-specific micropores using arc 392 discharge. In this paper, we describe a noninvasive device applied with arc-poration, which 393 can create micropores using a modified arc discharge. Experiments with a reconstituted 394 human skin and a porcine skin demonstrated that the micropores formed by arc-poration were 395 limited on the stratum corneum. Furthermore, these experiments showed that the treatment of 396 arc-poration creates micropores with the diameter of 97.45 ± 19.33 mm, which is similar to 397 the diameter of micropores formed by thermal ablation or microneedle. Interestingly, these 398 were achieved without the masking steps required to adjust the diameter of the micropores 399 during thermal ablation, indicating that arc-poration is a unique tool for creating stratum 400 corneum-specific micropores of a particular size. 401

When dielectric breakdown occurs, the voltage drops, whereas the current elevates dramatically [41]. The heat generated by the high current causes damage to the skin, 403 suggesting the importance of the device safety. To avoid continuous burning to the skin, we 404 adjusted the burning period by applying burst frequency and a high carrier frequency to the 405 arc-poration device. As a result, micropores could be created only on the stratum corneum 406 without severe damage to the skin. Another concern is the electrical injury caused by the 407current exceeding the allowable value for passing through the human body. When the current 408 reaches a maximum during the occurrence of arc discharge, the resistance represents a 409 minimum value, resulting in the voltage drop [42]. That is, just before the electrode touches 410 the skin, the voltage is dropped by arc discharge, and then the current intensity is lowered due 411 to the high resistance of the skin. Here we show that the current intensity represents 21 ± 4 412 mA at 354.98 ± 4.29 kHz of frequency when a 500-ohm load is applied, which is similar to 413 the situation where the device contacts the skin. This current intensity could be considered 414 safe for the human body because it does not exceed the allowable current limit for humans of 415 100 mA of current when exceeding 1.5 kHz of frequency according to IEC 60601, a series of 416 technical standards for the safety of medical electrical equipment. 417

One of the important considerations of facial devices is the safety of eyes. With the use of most devices that use electric stimulation or laser, it is critical to avoid patient's eye are or have them wear protective equipment on the eyes. In arc discharge, the conditions of dielectric breakdown vary depending on the humidity. The breakdown voltage increases as the humidity increases [43]. Since human eyes are always wet, arc discharge does not occur in the eyes when using the arc-poration device, suggesting the device's safety for the eyes.

Ascorbic acid is involved in collagen synthesis promotion, melanogenesis inhibition, and antioxidation [44]. However, since ascorbic acid is hydrophilic and has a negative charge when ionized, it is extremely difficult for ascorbic acid to pass through the hydrophobic and negatively charged stratum corneum. We clinically evaluated arc-poration using cosmetics containing ascorbic acid as the main active ingredient. Our clinical results show that the skin improvement effect of cosmetics is enhanced by the pretreatment of arc-poration in all aspects, including melanogenesis and collagen synthesis. Especially, the pretreatment of arcporation was remarkably effective for skin pore tightening. Enlarged skin pores are caused by 431

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decreased skin elasticity due to loose collagen around the pores [45]. These findings suggest that arc-poration may facilitate the penetration of ascorbic acid deep into the skin for its skin improvement effects. Although it may be the penetration effects of other ingredients into the skin, there is no doubt that arc-poration promotes penetration of biomolecules into the skin. 432

To date, tens of drugs have been FDA-approved for transdermal delivery, but most of them have a molecular weight of less than 500 Daltons, which is essential for a passive 437 delivery method [11]. A variety of innovative biologics, including antibodies, peptides, and 438 nucleic acids, have been developed to treat skin cancer and atopic dermatitis [46-48]. 439 However, biologic drugs cannot pass through the stratum corneum by passive transdermal 440 delivery methods due to their high molecular weights of 1 kDa to 1000 kDa, suggesting the 441 requirement for active delivery methods [49]. Although we evaluated the penetration-442 promoting effects and clinical effects of arc-poration with caffeine and cosmetics, 443 respectively, the biomolecules for arc-poration are not limited to them. For example, among 444 the biologic modalities, IgM monoclonal antibodies have a molecular weight of more than 445 900 kDa and a diameter of 29 nm at extended conformation [50]. Since the diameter of the 446 micropores created by arc-poration is about 100 mm, IgM monoclonal antibodies can be 447 expected to pass through the stratum corneum via the micropores. In other words, most 448 biologics are smaller than IgM monoclonal antibodies; thus, there is no size limit for 449 biologics to pass through the micropores created by arc-poration. The biologics may not be 450 able to pass through the tight junctions on the stratum granulosum, a second skin barrier, as 451 arc-poration only disrupts the stratum corneum. However, tight junctions are dysregulated in 452 atopy dermatitis and skin cancers, allowing the biologics to deeply penetrate the skin [51,52]. 453 This suggests that stratum corneum-specific micropores created by arc-poration allow 454 biologics to be concentrated in the lesion. 455

5. Conclusions

Passage through the stratum corneum remains the biggest challenge for transdermal drug delivery. In this study, we demonstrated that arc-poration, an arc discharge-based technique, 459 can selectively create micropores on the stratum corneum, thereby increasing skin 460 permeability. Clinical results showed that the skin improvement effect of cosmetics was 461 enhanced by pretreatment of arc-poration, and even one-time use of arc-poration showed 462 superior immediate results compared to those following the application of cosmetics alone. 463 These results suggest that the arc-poration device can be a highly effective beauty device for 464 transdermal delivery of cosmetics. Although clinical trials were conducted with cosmetics, 465 considering the diameter size of the micropores created by arc-poration, it would be also 466 possible to promote skin penetration of macromolecules by pretreatment of arc-poration. To 467 demonstrate the possibility of an arc-poration device as a therapeutic use in the future, we 468 will evaluate skin permeability and efficacy of various biologics after arc-poration 469 pretreatment. 470

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Institutional Review Board Statement: The study was conducted in accordance with the481Declaration of Helsinki, and approved by the Institutional Review Board of APR Co., Ltd.482(IRB approval code 70094430-2202-HR-011-07 and 70094430-2201-HR-004-07).483Informed Consent Statement: Informed consent was obtained from all subjects involved in484

the study. **Data Availability Statement:** No new data were created or analyzed in this study. Data

 Data Availability Statement: No new data were created or analyzed in this study. Data
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 sharing is not applicable to this article.
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Conflicts of Interest: The authors declare no conflict of interest. All authors have approved the final article. 489

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Figures





Figure 2

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Figure 3



Arc-poration treatment

Figure 4

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Figure 5





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Table**Table 1.** Evaluation of safety after arc-poration treatment

Risk factor	Control subject	Required value (preferred value)	Observed value
Electric stimulations ¹	Contact current	<100 mA	$22.04\pm0.54\ mA$
	Pain	>20 kHz	$354.98\pm4.29\ kHz$
	Thermal damage	50–500 kHz	$74.17\pm0.36~kHz$
	Electric shock	Insulation	Isolation transformer
Hazardous substances	Ozone	<0.05 ppm	0.004 ppm
	Nitrogen oxide	<25 ppm	<1 ppm
	Nitrogen dioxide	<5 ppm	<1 ppm
Abnormal skin responses	Erythema	(Not observed)	Not observed
	Edema	(Not observed)	Not observed
	Scaling	(Not observed)	Not observed
	Itching	(Not observed)	Not observed
	Stinging	(Not observed)	Not observed
	Burning	(Not observed)	Not observed
	Tightness	(Not observed)	Not observed
	Prickling	(Not observed)	Not observed

¹ These data were obtained from Figure 1.